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PTO/SB/01 (09-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

☐ Declaration  
Submitted  
With Initial  
Filing

OR

☒ Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)

Attorney Docket  
Number 42592-P001US  
First Named Inventor Russell W. Teubner

**COMPLETE IF KNOWN**

Application Number 10/051,247  
Filing Date January 18, 2002  
Art Unit 2194  
Examiner Name St. John Courtenay, III

**I hereby declare that:**

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**SYSTEM, METHOD AND APPARATUS TO ALLOW COMMUNICATION BETWEEN  
CICS AND NON-CICS SOFTWARE APPLICATIONS**

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 01/18/2002 as United States Application Number or PCT International

Application Number 10/051,247 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance completing the form, call 1-800-PTO-9199 and select option 2.

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**DECLARATION — Utility or Design Patent Application**Direct all  
correspondence to:The address  
associated with  
Customer Number:

43167

OR

Correspondence  
address below

Name

Winstead Sechrest &amp; Minick P.C.

Address

P.O. Box 50784

City

Dallas

State

Texas

ZIP

75201

Country

US

Telephone

214.745.5400

Fax

214.745.5390

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**NAME OF SOLE OR FIRST INVENTOR:**

A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))

RUSSELL W.

Family Name or Surname

TEUBNER

Inventor's Signature

Date

1/6/06

Residence: City

Stillwater

State

OK

Country

US

Citizenship

US

Mailing Address

5717 Woodlake Drive

City

Stillwater

State

OK

Zip

74074

Country

US

**NAME OF SECOND INVENTOR:**

A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))

SCOTT THOMAS

Family Name or Surname

GLENN

Inventor's Signature

Date

Residence: City

Austin

State

Texas

Country

US

Citizenship

US

Mailing Address

10036 Valona Drive

City

Austin

State

Texas

Zip

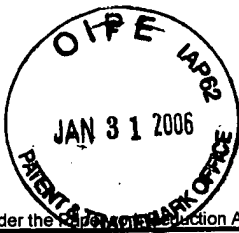
78717

Country

US



Additional inventors or a legal representative are being named on the supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

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Attorney Docket  
Number 42592-P001US

First Named Inventor Russell W. Teubner

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Application Number 10/051,247

Filing Date January 18, 2002

Art Unit 2194

Examiner Name St. John Courtenay, III

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*(Title of the Invention)*

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I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
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			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

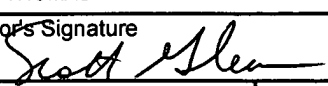
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### DECLARATION — Utility or Design Patent Application

Direct all correspondence to:	<input checked="checked" type="checkbox"/> The address associated with Customer Number:	43167	OR	<input type="checkbox"/> Correspondence address below
Name Winstead Sechrest & Minick P.C.				
Address P.O. Box 50784				
City Dallas		State Texas	ZIP 75201	
Country US	Telephone 214.745.5400		Fax 214.745.5390	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.				
NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any]) RUSSELL W.		Family Name or Surname TEUBNER		
Inventor's Signature			Date	
Residence: City Stillwater	State OK	Country US	Citizenship US	
Mailing Address 5717 Woodlake Drive				
City Stillwater	State OK	Zip 74074	Country US	
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any]) SCOTT THOMAS		Family Name or Surname GLENN		
Inventor's Signature 			Date 1-17-06	
Residence: City Austin	State Texas	Country US	Citizenship US	
Mailing Address 10036 Valona Drive				
City Austin	State Texas	Zip 78717	Country US	
<input type="checkbox"/> Additional inventors or a legal representative are being named on the supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.				

Attorney Docket No.  
42592-P001US

PATENT  
Serial No. 10/051,247  
Patent No. 6,981,257 B2

### INVENTOR STATEMENT

As below named inventors, we hereby state that the correct inventorship for the applications related to the invention(s) entitled:

**SYSTEM, METHOD AND APPARATUS TO ALLOW COMMUNICATION BETWEEN  
CICS AND NON-CICS SOFTWARE APPLICATIONS**

for which the following applications have been filed:

U.S. Serial No. 60/262,903 filed on January 19, 2001;  
U.S. Serial No. 10/051,247, filed on January 18, 2002;  
Which has been granted under Patent No. 6,981,257 B2 on December 27, 2005;  
International Application Serial No. PCT/US02/01351 filed on January 18, 2002;  
Canadian Serial No. 2,435,263 filed as of January 18, 2002; and  
European Serial No. 02 704 162.3 filed as of January 18, 2002.

are the following inventors: Russell W. Teubner and Scott Thomas Glenn.

The error in the omission of the second inventor was inadvertent and such error arose without any deceptive intent on the part of the person named as the original inventor or on the part of the person who through error was not originally named as an inventor.

FULL NAME OF FIRST INVENTOR: RUSSELL W. TEUBNER

INVENTOR'S SIGNATURE: *Russell W. Teubner*

DATE: 1/6/06

State of Oklahoma §

County of Payne §

On this, the 6th day of January 2006, before me, a Notary Public in and for the State and County aforesaid, personally appeared before me Russell W. Teubner, well known and known by me to be the person of the above name who signed and sealed the foregoing instrument and acknowledged the same to be his own free act and deed.

*Marsha C. Forbes*  
NOTARY PUBLIC

#01009450

My Commission Expires: July 10, 2009

SEAL

Attorney Docket No.  
42592-P001US

PATENT  
Serial No. 10/051,247  
Patent No. 6,981,257 B2

FULL NAME OF SECOND INVENTOR: SCOTT THOMAS GLENN

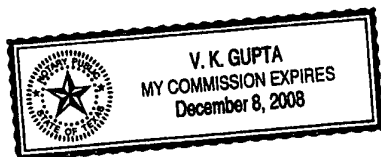
INVENTOR'S SIGNATURE: Scott Glenn

DATE: 1-17-06

State of Tx §  
County of Williamson §

On this, the 17<sup>th</sup> day of Jan 2006, before me, a Notary Public in and for the State and County aforesaid, personally appeared before me Scott Thomas Glenn, well known and known by me to be the person of the above name who signed and sealed the foregoing instrument and acknowledged the same to be his own free act and deed.

SEAL



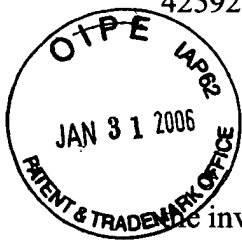
V. K. Gupta  
NOTARY PUBLIC

My Commission Expires: Dec 8, 08

Dallas\_1\4318525\1  
42592-P001US 1/4/2006

ATTORNEY DOCKET NO.  
42592-P001US

PATENT  
Serial No. 10/051,247  
Patent No. 6,981,257 B2



**ASSIGNEE CONSENT FOR CORRECTION OF INVENTORS**

Assignee hereby consents to the Correction of Inventorship for the applications related to the invention(s) entitled:

**SYSTEM, METHOD AND APPARATUS TO ALLOW COMMUNICATION BETWEEN  
CICS AND NON-CICS SOFTWARE APPLICATIONS**

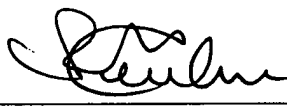
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Which has been granted under Patent No. 6,981,257 B2 on December 27, 2005;  
International Application Serial No. PCT/US02/01351 filed on January 18, 2002;  
Canadian Serial No. 2,435,263 filed as of January 18, 2002; and  
European Serial No. 02 704 162.3 filed as of January 18, 2002

The correct inventors of the invention relating to these applications are: Russell W. Teubner and Scott Thomas Glenn.

HOSTBRIDGE TECHNOLOGY, LLC.


Date: 1/6/06, 2006

By:   
Russell W. Teubner  
Chief Executive Officer

State of Oklahoma §  
County of Payne §

On this, the 6<sup>th</sup> day of January, 2006, before me, a Notary Public in and for the State and County aforesaid, personally appeared before me Russell W. Teubner, Chief Executive Officer of Hostbridge Technology, LLC, well known and known by me to be the person of the above name who signed and sealed the foregoing instrument and acknowledged the same to be his own free act and deed.

SEAL

  
NOTARY PUBLIC  
#01009450

My Commission Expires: July 10, 2009



**ASSIGNMENT**

**WHEREAS**, the undersigned inventor, hereinafter called the "Assignor", has invented a new and useful invention entitled:

**SYSTEM, METHOD AND APPARATUS TO ALLOW COMMUNICATION  
BETWEEN CICS AND NON-CICS SOFTWARE APPLICATIONS**

\_\_\_\_\_ for which reference a full description is here made in an application for Letters Patent of the United States filed herewith.

XX for which reference a full description is here made in an application for Letters Patent as follows:

1. United States Serial No. 60/262,903 filed on January 19, 2001
2. United States Serial No. 10/051,247 filed on January 18, 2002 (granted under Patent No. 6,981,257 B2 on December 27, 2005);
3. International Application Serial No. PCT/US02/01351 filed on January 18, 2002;
4. Canadian Serial No. 2,435,263 filed as of January 18, 2002; and
5. European Serial No. 02 704 162.3 filed as of January 18, 2002

**WHEREAS, HOSTBRIDGE TECHNOLOGY, LLC**, An Oklahoma limited liability company, having a principal office and place of business at 100 E. 7<sup>th</sup> Avenue, Stillwater, Oklahoma 74074, hereinafter called the "Assignee", is desirous of acquiring the entire right, title and interest in and to said invention, the application above identified, and in, to and under Letters Patent which may be obtained for said invention, as hereinafter more fully set forth;

**NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN**, be it known that for valuable and legally sufficient consideration, the receipt of which by the Assignor from the Assignee is hereby acknowledged, the Assignor has sold, assigned and transferred, and by these presents does sell, assign and transfer unto the Assignee, its successors and assigns, the entire right, title and interest in and to the invention and the application herein above identified, and all Letters Patents that may issue for the said invention, and all divisions, reissues, substitutions, continuations, and extensions thereof, to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns to the full end of the term for which any and all of said Letters Patents for the said invention may issue.

**FURTHER**, be it known that the Assignor has sold, assigned and transferred, and by these presents does sell, assign and transfer unto the Assignee, its successors and assigns, the entire foreign rights to the invention disclosed in said application, in all countries of the world, including the right to file applications and obtain patents under the terms of the International Convention for the Protection of Industrial Property, and of the European Patent Convention, and further agrees to execute any and all patent applications, assignments, affidavits, and any other papers in connection therewith necessary to perfect such patent rights.



And the Assignor does hereby covenant and agree, for himself and his legal representatives, that they will assist the Assignee in the prosecution of the application herein identified; in the making and prosecution of any other applications for Letters Patent that the Assignee may elect to make covering the invention herein identified, as herein before set forth, including any application for reissue, application for reexamination, application for foreign patent rights, or any proceeding in the United States Patent and Trademark Office affecting the invention, investing in the Assignee exclusive title in and to all such other applications and Letters Patent; and in the prosecution of any interference which may arise involving said invention, or any application or Letters Patents herein contemplated; that they will promptly execute and deliver to the Assignee any and all additional papers and make all lawful oaths which may be requested by the Assignee to fully carry out the terms of this assignment; and further that they will communicate to Assignee, or to its successors, assigns, and legal representatives, any facts known respecting said invention, and at the expense of the Assignee, testify in any legal proceedings, and generally do everything possible to aid the Assignee, its successors, assigns and nominees to obtain and enforce proper patent protection for said invention in all countries.

And the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all Letters Patent to the Assignee in accordance with the terms of the assignment.

IN TESTIMONY WHEREOF, the Assignor has hereunto set his hands on the date indicated below.

SCOTT THOMAS GLENN

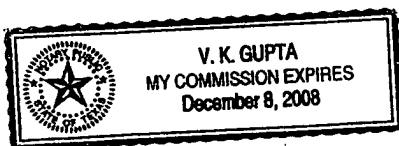
Dated: 1-17-06

Scott Glenn

State of Tx §  
§  
County of Williamson §

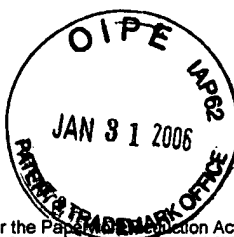
On this, the 17<sup>th</sup> day of Jan 2006, before me, a Notary Public in and for the State and County aforesaid, personally appeared before me Scott Thomas Glenn, well known and known by me to be the person of the above name who signed and sealed the foregoing instrument and acknowledged the same to be his own free act and deed.

SEAL



V. K. Gupta  
NOTARY PUBLIC

My Commission Expires: Dec 8, 08



PTO/SB/96 (09-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Hostbridge Technology, LLCApplication No./Patent No.: 6,981,257 Filed/Issue Date: December 27, 2005Entitled: System, Method and Apparatus to Allow Communication Between CICS and Non-CICS Software ApplicationsHostbridge Technology, LLC

(Name of Assignee)

, a Limited Liability Company

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Russell W. Teubner To: Hostbridge Technology, LLCThe document was recorded in the United States Patent and Trademark Office at  
Reel 016444, Frame 0355, or for which a copy thereof is attached.2. From: Scott Thomas Glenn To: Hostbridge Technology, LLCThe document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

1/6/06

Date

Russell W. Teubner

Printed or Typed Name

Telephone Number

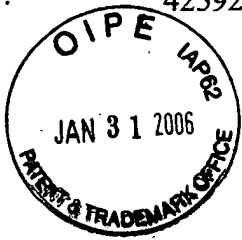
Chief Executive Officer of Hostbridge Technology, LLC

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## ASSIGNMENT

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4. Canadian Serial No. 2,435,263 filed as of January 18, 2002; and
5. European Serial No. 02 704 162.3 filed as of January 18, 2002

**WHEREAS, HOSTBRIDGE TECHNOLOGY, LLC**, An Oklahoma limited liability company, having a principal office and place of business at 100 E. 7<sup>th</sup> Avenue, Stillwater, Oklahoma 74074, hereinafter called the "Assignee", is desirous of acquiring the entire right, title and interest in and to said invention, the application above identified, and in, to and under Letters Patent which may be obtained for said invention, as hereinafter more fully set forth;

**NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN**, be it known that for valuable and legally sufficient consideration, the receipt of which by the Assignor from the Assignee is hereby acknowledged, the Assignor has sold, assigned and transferred, and by these presents does sell, assign and transfer unto the Assignee, its successors and assigns, the entire right, title and interest in and to the invention and the application herein above identified, and all Letters Patents that may issue for the said invention, and all divisions, reissues, substitutions, continuations, and extensions thereof, to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns to the full end of the term for which any and all of said Letters Patents for the said invention may issue.

**FURTHER**, be it known that the Assignor has sold, assigned and transferred, and by these presents does sell, assign and transfer unto the Assignee, its successors and assigns, the entire foreign rights to the invention disclosed in said application, in all countries of the world, including the right to file applications and obtain patents under the terms of the International Convention for the Protection of Industrial Property, and of the European Patent Convention, and further agrees to execute any and all patent applications, assignments, affidavits, and any other papers in connection therewith necessary to perfect such patent rights.

And the Assignor does hereby covenant and agree, for himself and his legal representatives, that they will assist the Assignee in the prosecution of the application herein identified; in the making and prosecution of any other applications for Letters Patent that the Assignee may elect to make covering the invention herein identified, as herein before set forth, including any application for reissue, application for reexamination, application for foreign patent rights, or any proceeding in the United States Patent and Trademark Office affecting the invention, investing in the Assignee exclusive title in and to all such other applications and Letters Patent; and in the prosecution of any interference which may arise involving said invention, or any application or Letters Patents herein contemplated; that they will promptly execute and deliver to the Assignee any and all additional papers and make all lawful oaths which may be requested by the Assignee to fully carry out the terms of this assignment; and further that they will communicate to Assignee, or to its successors, assigns, and legal representatives, any facts known respecting said invention, and at the expense of the Assignee, testify in any legal proceedings, and generally do everything possible to aid the Assignee, its successors, assigns and nominees to obtain and enforce proper patent protection for said invention in all countries.

And the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all Letters Patent to the Assignee in accordance with the terms of the assignment.

IN TESTIMONY WHEREOF, the Assignor has hereunto set his hands on the date indicated below.

SCOTT THOMAS GLENN

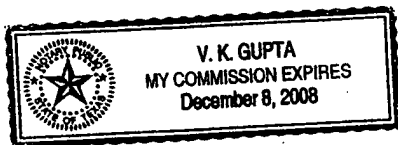
Dated: 1-17-06

Scott Glenn

State of Tx §  
§  
County of Williamson §

On this, the 17<sup>th</sup> day of Jan 2006, before me, a Notary Public in and for the State and County aforesaid, personally appeared before me Scott Thomas Glenn, well known and known by me to be the person of the above name who signed and sealed the foregoing instrument and acknowledged the same to be his own free act and deed.

SEAL



V. K. Gupta  
NOTARY PUBLIC

My Commission Expires: Dec 8, 08